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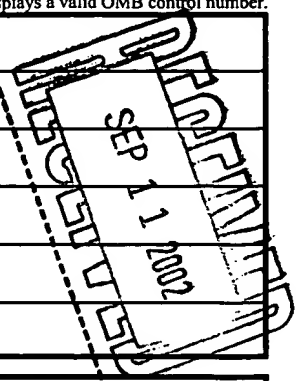


PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/864,093	
	Filing Date	May 21, 2001	
	First Named Inventor	Clark	
	Group Art Unit	2633	
	Examiner Name	TBD	
Total Number of Pages in This Submission		Attorney Docket Number	70651



ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO-1449 2. Six References 3. Return Receipt Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Individual name	Steven M. Freeland, Reg. No. 42,555
Signature	
Date	August 30, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: August 30, 2002			
Typed or printed name	Steven M. Freeland		
Signature		Date	August 30, 2002

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Docket No. 70651

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Clark et al.
Application No.: 09/864,093
Filed: May 21, 2001
Title: FREE-SPACE OPTICAL
COMMUNICATION SYSTEM
EMPLOYING WAVELENGTH
CONVERSION
Group Art Unit: 2633
Examiner: TBD

DATE: 08/30/02

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ Under 37 CFR §1.97(b) (within three months of the filing date of a national application or date of entry of an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last). However, should a first Office Action on the merits be mailed unbeknownst to the undersigned prior to the date of mailing of this paper, the fee requested under §1.17(p) may be charged to Deposit Account No. 06-1135.
- ☐ Under 37 CFR §1.97(c) together with either:
☐ a statement under 37 CFR §1.97(e), or
☐ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

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Application No. 09/864,093

Page 2

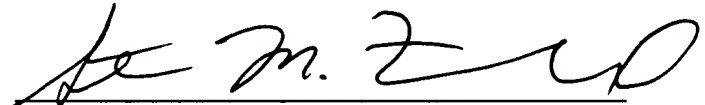
- ____ Under 37 CFR §1.97(d) together with:
- ____ a statement under 37 CFR §1.97(e), and
 - ____ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(c) time period, but on or before payment of the issue fee).

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with six (6) references listed as items AA-AF.

It is requested that the information provided herein be made of record in this application.

Dated: August 30, 2002

Respectfully submitted,



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